

I&M CAPITAL FUNDS ADDITIONAL ACCOUNT APPLICATION FORM

(Form should be filled in CAPS. All fields are mandatory)

Date:

Existing Member Number:

1. INVESTOR DETAILS

	Applicant 1	Applicant 2	Applicant 3	Applicant 4
First Name				
Middle Name				
Last Name				
ID/ Passport/ Birth Certificate (Minors Only) No.				
KRA PIN No.				

2. FUND SELECTION

Fund Selection (Tick desired Fund)	Fund Name	Fund Details	Bank Account Details	Initial Investment Amount
☐	I&M Capital Wealth Fund (KES) Minimum Initial Investment Amount: KES: 5,000 Low Risk	- Open-ended money market fund that invests in short term fixed income securities - Aims to maximize income for investors while minimizing risk - Interest is earned daily and compounded monthly - Provides quick access to moneys invested - Minimum Initial Investment Amount KES 5,000 - Minimum top-up Amount KES 2,000 - Minimum withdrawal Amount KES 2,000 - Annual Management Fees 2%	Account Name: IM Capital Limited Clients Account Wealth Fund Collections Account Bank: I&M Bank Limited, Kenya Branch: 1 Park Avenue Currency: Kenya Shillings (KES) Account Number: 01003476581352 SWIFT Code: IMBLKENAXXX	KES:
☐	I&M Capital Bond Plus Fund (KES) Minimum Initial Investment Amount KES: 100,000 Medium Risk	- Suitable for investors seeking competitive income - Aims to maximize the level of total return generating a reasonable level of income and maximum stability for the capital invested - Fund invests in long term Government and other securities - Minimum Initial Investment Amount KES 100,000 - Minimum top-up Amount KES 50,000 - Minimum withdrawal Amount KES 50,000 - Annual Management Fees 2% - Lock in period of 6 months from date of initial investment - Only one withdrawal allowed per month	Account Name: IM Capital Limited Clients Account Bond Plus Fund Collections Account Bank: I&M Bank Limited, Kenya Branch: 1 Park Avenue Currency: Kenya Shillings (KES) Account Number: 01003476581358 SWIFT Code: IMBLKENAXXX	KES:
☐	I&M Capital US Dollar Fixed Income Fund Minimum Initial Investment Amount USD: 1,000 Medium Risk	- Open-ended fixed income fund that provides attractive returns in USD - Invests in US Dollar denominated fixed income securities - Interest is earned daily and compounded monthly - Provides quick access to moneys invested - Minimum Initial Investment Amount USD 1,000 - Minimum top-up Amount USD 100 - Minimum withdrawal Amount USD 100 - Annual Management Fees 1.5%	Account Name: IM Capital Limited Clients Account USD Fixed Income Fund Collections Account Bank: I&M Bank Limited, Kenya Branch: 1 Park Avenue Currency: United States Dollar (USD) Account Number: 01003476581355 SWIFT Code: IMBLKENAXXX	USD:
☐	I&M Capital Special GBP Fixed Income Fund Minimum Initial Investment Amount GBP: 10,000 High Risk	- The Special GBP Fixed Income Fund aims to generate a regular income stream over the medium term. - Designed for investors with a moderate to high risk tolerance and understanding of derivatives. - Interest is earned daily and compounded monthly - Provides quick access to moneys invested - Minimum initial investment of GBP 10,000 - Minimum top-up of GBP 1,000 - Minimum withdrawal of GBP 1,000 - Annual Management Fees 1.5%	Account Name: IM Capital Limited Clients Account GBP Fixed Income Fund Collections Account Bank: I&M Bank Limited, Kenya Branch: 1 Park Avenue Currency: Great Britain Pound (GBP) Account Number: 01003476581361 SWIFT Code: IMBLKENAXXX	GBP:

Note: Funds received before 12 noon, value date will be the following working day. Any funds received after 12 noon will be value dated the day after the next working day (2 working days upon receipt). Value date will not be applied on weekends and public holidays

3. SOURCE OF FUNDS

☐	Employment Income	☐	Business Income	☐	Interest/Dividends	☐	Property Sale
☐	Investments Proceeds	☐	Loan Proceeds	☐	Rental Income	☐	Gifts / Inheritance
☐	Retirement Benefits	☐	Other (please specify)				

4. CUSTOMER BANK DETAILS

Please note that the account details below will be used for your withdrawal payments in line with the fund(s) selected (must be an I&M Bank account).

Bank Details (Indicate Bank account in the currency of the fund selected)			
Account Name			
I&M Bank Branch			
Currency	KES	USD	GBP
Account Number			

Note: Withdrawals are processed within 3 working days of receipt of request from an investor.

5. INCOME DISTRIBUTION

(Not applicable to the I&M Capital Bond Plus Fund)

Do you want to reinvest monthly interest?	☐ Yes	☐ No	(If no, payment of monthly interest will be made by the 5 th of the following month).
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6. DECLARATION

1. I declare that the information in this application is true, correct and accurate and that I will supply I&M Capital with any further information if necessary due to changes of the above. 2. I apply for investments with I&M Capital on the terms, conditions and regulatory information set out in the information memorandum and the other incorporation documents. 3. I hereby confirm that the money used for these investments does not arise from any proceeds of crime, money laundering, terrorism, and other illegal activities. 4. I agree that all proceeds from redemptions and income distribution will be paid in accordance with the applicable payment instructions or law of the land. I hereby confirm to have received information on the agreed investment objectives as per the Client risk profile, involved risks, handling of income accruals, charges and pricing. 5. By investing with I&M Capital Limited ("IMCL"), you acknowledge that your investment is exposed to various risk factors, including but not limited to market risks, default by issuer or third party associated risks and therefore your principal and/or return(s) are not guaranteed nor assured by either of IMCL, I&M Bank Limited, I&M Group Plc or the issuer/3rd party. Furthermore, you acknowledge that any past performance does not predict future results. 6. Any investment advice provided by IMCL is based on current market conditions and information believed to be reliable, but no guarantee is made regarding its accuracy or completeness. The advisor shall not be held liable for any losses or damages arising from investment decisions made based on this advice. 7. Some IMCL products or services may involve third parties, for which, any and all third-party liability is expressly disclaimed. IMCL's liability is strictly limited to losses directly caused by its gross negligence or wilful misconduct, with all other losses excluded to the fullest extent permitted by law. By investing in IMCL, you confirm that you have read and understood IMCL's full risk disclaimer and the applicable terms and conditions all of which are available at www.imbankgroup.com/ke/personal/investment/international-wealth-management/. 8. I confirm that I am comfortable with the risk rating of the funds(s) selected above. 9. I confirm that I have read and understood these declarations and their implications 10. By signing this Declaration I hereby confirm that I have read, understood and accepted THE TERMS AND CONDITIONS FOR WEALTH MANAGEMENT INVESTMENTS OR SERVICES WITH I&M CAPITAL LIMITED. ☐ I hereby confirm that I have read, understood and accepted THE TERMS AND CONDITIONS FOR WEALTH MANAGEMENT INVESTMENTS OR SERVICES WITH I&M CAPITAL LIMITED.
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Client Name		Signature	
Date			
Client Name		Signature	
Date			
Client Name		Signature	
Date			
Client Name		Signature	
Date			